

Microplastic in the stomachs of open-ocean and deep-sea fishes of the North-East Atlantic

João M. Pereira¹, Yasmina Rodríguez¹, Sandra Blasco-Monleon¹, Adam Porter², Ceri Lewis², Christopher K. Pham^{1*}

¹IMAR/OKEANOS - Universidade dos Açores, Horta, Portugal

²College of Life and Environmental Sciences: Biosciences, Geoffrey Pope Building, University of Exeter, Stocker Road, Exeter EX4 4QD, United Kingdom.

*corresponding author: christopher.k.pham@uac.pt

Abstract

The presence of microplastic in marine fishes has been well documented but few studies have directly examined differences between fishes occupying contrasting environmental compartments. In the present study, we investigated the gut contents of 390 fishes belonging to three pelagic (blue jack mackerel, chub mackerel, skipjack tuna) and two deep-sea species (blackbelly rosefish, blackspot seabream) from the Azores archipelago, North-East Atlantic for microplastic contamination. Our results revealed that pelagic species had significantly more microplastic than the deep-water species. In all of the species studied, fragments were the most common plastic shape recovered and we found a significant difference in the type of polymer between the pelagic and deep-water species. In deep-sea fish we found almost exclusively polypropylene, whereas in the pelagic fish, polyethylene was the most abundant polymer type. Overall, the proportion of fish containing plastic items varied across our study species from 3.7% to 16.7% of individuals sampled, and the average abundance of plastic items ranged from 0.04 to 0.22 per individual (the maximum was 4 items recovered in one stomach). Despite the proximity of the Azores archipelago to the North Atlantic subtropical gyre, a region of elevated plastic abundance, the proportion of individuals containing plastic (9.49%) were comparable with data reported elsewhere.

Capsule: The quantities of microplastic in fish species of the Azores archipelago was higher for pelagic than for deep-sea fishes while the overall proportion of occurrence was comparable to levels reported elsewhere.

Keywords

Marine debris; Azores; stomach content; pelagic; demersal; North Atlantic subtropical gyre

37 Introduction

38 Plastic pollution has been identified as one of the major environmental problems currently
39 facing global oceans and marine biota. Plastic items are now commonly observed from shallow
40 coastal areas (Browne *et al.*, 2011) down to the deep-sea floor (Pham *et al.*, 2014; Chiba *et al.*,
41 2018) and from the Arctic (Zarfl and Matthies, 2010) and Antarctic (Barnes *et al.*, 2009) to the
42 tropics (Do Sul *et al.*, 2014). Despite their wide distribution throughout the marine realm, plastics
43 have been shown to accumulate in certain areas. It is now well established that floating plastic
44 tends to accumulate in oceanic gyres (Law *et al.*, 2010; Ter Halle *et al.*, 2017) as well as sinking
45 to the sea floor (Woodall *et al.*, 2015; Koelmans *et al.*, 2018; Everaert *et al.*, 2018). Ingestion of
46 plastic items has also been reported throughout the marine food chain, from zooplankton up to
47 large baleen whales (Gall and Thompson, 2015; Sun *et al.*, 2017).

48 Plastics are exceptionally spatially and temporally heterogeneous varying by orders of
49 magnitude within small changes in time or space (Law *et al.*, 2014). Accordingly, a wide variety
50 of fish species (~475) are known to ingest plastic items with high variability in the number of
51 individuals containing plastic particles and individual uptake between species, geographic
52 location, habitat and trophic level (Markic *et al.*, 2019). In the seas surrounding populated areas
53 or in accumulation zones (e.g. subtropical gyres) the number of fish containing plastic for any
54 given species has been generally higher (Lusher *et al.*, 2013; Bellas *et al.*, 2016; Naidoo *et al.*,
55 2016; Peters *et al.*, 2017; Güven *et al.*, 2017; Tanaka and Takada, 2017; Herrera *et al.*, 2019)
56 compared to more remote environments (Annastasopoulo *et al.*, 2013; Foekema *et al.*, 2013;
57 Cannon *et al.*, 2016; Murphy *et al.*, 2017).

58 Plastics are not just heterogeneously distributed on the surface. When plastics enter the marine
59 environment, some sink straight away and others become fouled or entrained in marine snow and
60 subsequently sink creating a vertical distribution of this material (Galloway *et al.*, 2017; Porter *et al.*,
61 2018). A number of studies show that fishes occupying different oceanic zones (benthic,
62 pelagic etc.) have reported higher numbers of plastic particles per individual for pelagic species
63 (Rummel *et al.*, 2016; Anastasopoulou *et al.*, 2018), while others found that demersal species had
64 a higher ingestion rate (Kühn *et al.*, 2019) further evidencing the spatiotemporal heterogeneity of
65 plastics in the water column depending on the region. Polymer type is an important factor in this
66 vertical distribution and differences between compartments have been shown to exist in pelagic
67 and benthic species but also within environmental samples (Munari *et al.*, 2017; Porter *et al.*,
68 2018; Scott *et al.*, 2019). Lighter polymers (e.g. polyethylene) are typically more often found in
69 pelagic species and denser polymers (e.g. polyethylene terephthalate and polyvinylchloride) are
70 more common in benthic fish (Bray *et al.*, 2019).

71 The Azores is an oceanic archipelago located in the middle of the North Atlantic Ocean that
72 functions as an essential habitat for a variety of marine life, including cetaceans (>25 species),
73 seabirds, sea turtles, oceanic elasmobranchs, and other large pelagic fishes that come to the

archipelago to feed, mate, or to give birth (Monteiro *et al.*, 1996; Bolten, 2003; Silva *et al.*, 2014; Sobral and Afonso, 2014; Vandeperre *et al.*, 2016; Das and Afonso, 2017). On the seafloor, the numerous seamounts, island slopes and shelves host a high diversity of deep-water corals and sponges that are key components of deep benthic communities, providing habitats for a large variety of organisms (Braga-Henriques *et al.* 2013; Pham *et al.*, 2015).

The Azores are located at the edge of the North Atlantic subtropical gyre (NASG), within which concentrations of large microplastic (items 1-5 mm) have been reported to reach 250 000 items/km² and up to 7 000 000 items/km² for small microplastic (items < 1 mm) (Ter Halle *et al.*, 2017). Within Azorean waters, significant concentrations of plastic items have been recorded floating at the sea surface (Chambault *et al.* 2018), on the seafloor (Pham *et al.* 2013a; Rodríguez and Pham, 2017) or found accumulating on several beaches across the archipelago (Ríos *et al.*, 2018, Pham *et al.*, 2020) and also in the gastrointestinal tract of sea turtles (Pham *et al.*, 2017). It is this co-occurrence of both high biodiversity and high plastic abundance that make the Azores a highly relevant location to be addressing questions regarding the biological uptake of plastics, yet the risk of this emergent pollution issue for local biodiversity has not been fully assessed.

This study aims to assess plastic contamination in five different fish species of high commercial interest in the Azores (blackbelly rosefish, *Helicolenus dactylopterus*; blue jack mackerel, *Trachurus picturatus*; chub mackerel, *Scomber colias*; blackspot seabream, *Pagellus bogaraveo* and, skipjack tuna, *Katsuwonus pelamis*) occupying both the pelagic and benthic zones. We hypothesise that given the relative proximity to the North Atlantic Subtropical Gyre that our fishes sampled will have an elevated plastic load than other studies taken from the open ocean situated away from major accumulation zones. We also test the null hypothesis that the quantity of plastic will not differ between pelagic and benthic fishes, since to date, there are conflicting results in the literature. Furthermore, we hypothesise that larger fishes will have ingested more particles due to their increased mouth gape and ability to ingest larger prey leading to accidental ingestion and trophic transfer.

The blackbelly rosefish, is a carnivorous species that feeds mainly on benthic crustaceans and fish (Neves *et al.*, 2012), with a bathy-demersal distribution ranging between 200 and 800 m (Massuiti *et al.*, 2001). The blackspot seabream is an omnivorous species that feeds mostly on benthic crustaceans, molluscs, worms and small fish (Morato *et al.*, 2001), this benthic-pelagic species can be found at depths up to 800 m (Menezes *et al.*, 2006). The blue jack mackerel, feeds mostly on small crustaceans and has a benthic-pelagic distribution between the surface down to ~370 m deep (Menezes *et al.*, 2006). The chub mackerel feeds on small zooplankton and small fish (Castro, 1993; Collette and Nauen, 1983), with a pelagic-neritic distribution and can be found at the surface down to ~300 m deep. Finally, the skipjack tuna, that feeds on cephalopods, fish, molluscs and crustaceans (Collette and Nauen, 1983), is a top predator species characterized for its pelagic-oceanic distribution from the surface down to ~260 m deep.

111 **Material and Methods**

112 Species selection and sample collection

113 A total of 390 individuals belonging to five different species (blackbelly rosefish: n=54; blue jack
114 mackerel: n=117; chub mackerel: n=114; blackspot seabream: n=55; skipjack tuna: n=50) were
115 analysed (Table 1). All fishes were caught within Azorean waters through local fisheries by hook
116 and line, which reduces potential biases such as net feeding. Four species (blackbelly rosefish,
117 blue jack mackerel, chub mackerel, blackspot seabream) were directly purchased whole from
118 fisherman at Horta Harbour (38° 31'59 N; -28° 37'59 W), Faial Island between 2015 and 2018.
119 Skipjack tuna were collected from the canning factory in Pico Island in summer 2017.

120 In the laboratory, each whole individual was measured and weighed. Length of individuals
121 was obtained as the straight distance from the tip of the longest jaw with mouth closed to the tip
122 of the longest caudal lobe pinched together, as described by Miller and Lea (1972). Each fish was
123 then dissected and its stomach was carefully extracted and weighed under clean laboratory
124 conditions. The entire stomachs were stored in new zip-lock bags and frozen at -20 C° for
125 subsequent analysis. To prevent potential contamination, the bags were thoroughly washed with
126 20 µm pre-filtered deionized water. All species with everted stomachs were excluded from the
127 analysis to avoid including individuals who potentially lost their plastic content. Special attention
128 was taken to select individuals belonging to a narrow size range for each species in order to
129 minimize a possible size effect on plastic presence in stomach content (Table 1). Additionally,
130 we further subdivided chub mackerels and blue jack mackerels into different size categories: small
131 (S; 14.5 to 21.5 cm) and large (L; 21.6-36 cm) – to investigate a potential effect of fish size on
132 plastic content in the stomachs that could be related to differences in diets or habitat use.

134 Sample processing

135 Samples were analysed using a two-step method (visual sorting and subsequent digestion) to
136 allow the results to be compared with studies that only use visual sorting (>1 mm) and studies
137 that look at smaller items, as suggested by Lusher *et al.* (2017).

138 The exterior of each stomach was thoroughly washed with 20 µm pre-filtered deionized water
139 prior to opening in order to remove any possible microfiber contamination present on the outer
140 layer of the stomach to ensure not contamination was present from excision of stomach or storage
141 in zip lock bags. Fish stomachs were cut open vertically from top to bottom, ensuring the contents
142 stayed in the stomach. The contents of each stomach were carefully visualised under a stereo
143 microscope, with 6.4x magnification, for presence of plastic items (>1 mm). Potential items were
144 extracted from the stomach content with pre-rinsed tweezers and kept in a small petri dish for
145 subsequent measurement and photography. In addition, the fullness of each stomach was scored
146 on a scale from 0 (empty) to 5 (full). During visual sorting, a single blank filter for each stomach

was left open to the air for airborne contamination control. Full details of the size range and stomach weights of the fish sampled are presented in Table 1.

After visual sorting, the entire stomachs were digested with 10% KOH at 40 C° for at least 72 hours, as recommended by Karami *et al.* (2017) to ensure complete digestion but also to limit the degradation of certain plastic polymers. Dehaut *et al.* (2016), found microplastic recovery rate of 100% using this method, for most polymers, with the exception of polycarbonate (PC) and PET. The digested solution was sequentially filtered through a pre-rinsed 50 µm mesh and 1 µm pore size glass fibre filters. During this phase a blank filter was left open inside the fume-hood to control for airborne contamination and changed every five samples. A blank filtration with 20 µm pre-filtered deionized water was also performed every 5 samples. All filters were then analysed under a Leica binocular MZ16FA coupled with a MC 190 Leica camera. Every potential plastic item (> 20 µm) was photographed and the maximum calliper length measured using the Leica LAS V4.12 software. A blank filter for each sample was left open to the air again to control contamination, and was checked immediately after completing the visualisation of the samples. Potential plastic items were classified into small microplastic (20 µm to < 1mm), large microplastic (1-5 mm), mesoplastics (5-25mm) and all items larger than 25 mm were grouped as macroplastics. Shape was classified according to Kühn *et al.*, (2019) into thread, fragments and fibres (fibres are dust like particles from clothing whereas threads are larger strands from polyfilament nets or monofilament line). The colour of each item was also recorded in the following colour groups: blue, black, brown, green, orange, red, transparent, yellow and white. All items recovered were treated as potential plastic and further analysed using µ-Fourier transform infrared spectroscopy (µFTIR) for result validation and polymer identification. For small items (<1 mm) FTIR spectra were obtained using a Perkin-Elmer Spotlight 400 µFT-IR Imaging System operating in reflectance mode. Larger items (>1 mm) were analysed with a Perkin-Elmer Frontier spectrometer, using a universal diamond – ATR attachment. Spectra were processed with Perkin-Elmer's Spectrum™ 10 software enabling data normalisation and base-line correction. Polymer identification was made by comparing scanned spectra with commercially available spectral libraries. Only matches that were ≥70% were considered as valid identification. Out of all potential plastic items initially recovered, 68% (n=165 items) of potential plastic items were analysed directly using µ-FTIR. Because µ-FTIR analysis is a time-consuming method, if identical particles were found repeatedly in one or several individuals of the same species, its identity would be inferred after at least 5 of those particles were analysed. Therefore, the remaining potential plastic items (32%) were inferred based on the µ-FTIR results.

QA/QC procedures

All materials used during the laboratory analysis were washed with 20 µm pre-filtered water and checked under a stereomicroscope for the presence of microfibers before being used. In each

184 separate phase of the analysis, a blank filter paper was left exposed to the air whenever the samples
185 were treated as described above. This measure was taken to evaluate the contamination through
186 atmospheric deposition of microfibrils in the laboratory and the results were corrected
187 accordingly. Each microfibre found in the control filters was photographed and compared with
188 the microfibrils found in the samples. Any particle identical to a fibre from the control filters was
189 excluded from the results. Additionally, some blanks were left in the laboratory next to entrance
190 zones as extra safety control. Fibres present in those filters were also cross-checked with the
191 microfibrils identified in the stomachs and excluded from the results in case of similarity. Lab
192 coat and nitrile gloves were used during all laboratory phases. The final data presented have
193 therefore been corrected by removing any particle that returned a <70% match through spectral
194 analyses, and have had any item matching the microfibrils found in the corresponding blanks (22
195 microfibrils in 9 samples) removed. Whilst blue cellulosic fibres were present in some of the
196 samples they are not included in this analysis (they did not fit the required spectral analysis
197 match).

199 Statistical analysis

200 The proportion of fish containing plastic particles, plastic abundance and plastic load were
201 calculated for each species and size groups following guidelines in Provencher *et al.* (2017). Only
202 the corrected data was used in the analysis. Plastic abundance was calculated as the average
203 number of plastic items found in all fish sampled (whether they had plastics present or not), while
204 plastic load reports the average number of plastics items in the guts of only fishes that did contain
205 plastics. This is commonly misreported in the literature and can lead to difficult data comparisons.
206 Spearman's correlation test was used to assess the relation between fullness degree and abundance
207 and load of plastics within the fish. Differences in plastic content (abundance and load) between
208 species, size classes and environmental compartment (pelagic vs benthic), were evaluated with
209 Kruskal-Wallis and Dunn's tests due to non-normal distributions. Differences in shape, colour
210 and polymer composition of the plastics present in the stomachs between habitats and species
211 were tested for significance using ANOSIM (Analysis of similarity). Bray-Curtis similarity was
212 calculated on $\log(x+1)$ transformed data and a similarity percentage analysis (SIMPER) was
213 applied to identify the discriminating feature of the dissimilarities and similarities between
214 habitats and species. The level of significance used in the statistical tests was $p=0.05$. All
215 statistical analyses were performed using the computing environment R (R Core Team, 2019).

217 **Results**

218 A total of 3 suspected macroplastic items, 5 suspected mesoplastic items and 234 suspected
219 microplastic items (< 5 mm) were initially identified from the first sorting phase. Following μ -

220 FTIR analysis, only 52 out of the initial 242 items were confirmed as true plastic polymers (7
221 items > 5 mm and 45 items < 5 mm).

222 Stomach fullness was highly variable between species with 47% of individuals of blackbelly
223 rosefish having empty stomachs while none of the blackspot seabream had empty stomachs.

224 From the 390 fish sampled across all species, a total of 37 (i.e. 9.49%) of them contained
225 plastic debris in their stomachs. The number of plastic items recovered per individual ranged from
226 0 to 4 with an average of 0.13 ± 0.02 items (\pm SE) per fish. For the individuals which contained
227 plastic, the average plastic load per individual was 1.4 ± 0.04 (\pm SE) across all species.

228 We found a higher proportion of plastics present in the pelagic fishes sampled (11.7% of
229 individuals contained plastic) compared to benthic fishes sampled (3.7% of individuals contained
230 plastic) (Fig. 1). Plastic abundance in pelagic fish was significantly higher compared to benthic
231 fishes (Fig. 1, Chi square= 5.95; $p= 0.01$; $df= 1$). For pelagic species, the average abundance of
232 items per fish was 0.17 ± 0.03 (\pm SE). A total of 47 plastic items were recovered from 33 pelagic
233 fishes, which represents an average plastic load of 1.4 ± 0.05 (\pm SE). For the benthic species, the
234 average abundance of items present per fish was 0.05 ± 0.02 (\pm SE). A total of 5 plastic items
235 were recovered from 4 fishes, which represents an average plastic load of 1.2 ± 0.05 (\pm SE) items
236 per fish.

237 In the two pelagic species (chub mackerel and blue jack mackerel) for which we tested for a
238 size dependant effect, no significant differences were found in the abundance of plastic items
239 between large and small individuals (Chi square= 0.14; $p= 0.71$; $df=1$ and Chi square= 0.56; $p=$
240 0.45 ; $df= 1$, respectively). Therefore, results for those two species are reported without separating
241 the size classes. Plastic content was highest for chub mackerel with 16.7% of individuals sampled
242 containing plastic (Fig. 1), and an average abundance of 0.22 ± 0.06 (\pm SE) items per fish (Table
243 2). For this species, a total of 25 items were recovered in the stomach contents of 19 individuals,
244 which represents an average plastic load of 1.3 ± 0.1 (\pm SE) items per individual and a range from
245 1 to 4 items per fish. For blue jack mackerel 7.7% of individuals sampled contained plastic (Fig.
246 1), and this species had an average abundance of 0.12 ± 0.05 (\pm SE) plastic items per fish (Table
247 2). A total of 14 items were recovered in 9 individuals, with an average plastic load of 1.6 ± 0.1
248 (\pm SE) items per individual, ranging from 1 to 4 items per fish. The final pelagic species, the
249 skipjack tuna, had a contamination rate of 10.0% (Fig. 1), and an average of 0.16 ± 0.08 (\pm SE)
250 plastic items were recovered per fish (Table 2). A total of 8 plastic items were recovered in the
251 stomach content of 5 individuals, the average plastic load was 1.6 ± 0.1 (\pm SE) items per fish,
252 with a maximum of 3 plastic items recovered per fish for this species.

253 In the benthic fishes, we found that 3.7% of blackbelly rosefish individuals sampled contained
254 plastic (Fig. 1), and an average abundance of 0.06 ± 0.04 (\pm SE) items per fish (Table 2). A total
255 of 3 plastic items were recovered in 2 individuals corresponding to an average plastic load of 1.5
256 ± 0.1 (\pm SE), with a maximum of 2 plastic items per fish (Table 2). In the case of the blackspot

257 seabream, 3.6% of individuals contained plastic and the average abundance of items was $0.04 \pm$
258 0.03 (\pm SE) per fish (Table 2). A total of 2 plastic items were found in 2 fishes, corresponding to
259 an average plastic load of 1 plastic item per fish (Table 2).

260 Plastic fragments ($n= 34$) were the most frequent shape of plastic items recovered, contributing
261 to 65% of the total number of items. Plastic fragments were found in all five species sampled.
262 Fibres ($n= 12$) comprised 23% of the items and thread-like items ($n= 6$) made up the remaining
263 12% (Fig. 2). Fibres were found in all species with the exception of the blackspot seabream
264 whereas thread-like items were only found in two pelagic species, skipjack tuna and chub
265 mackerel (Fig. 2). Results from ANOSIM showed no significant differences in the shape of the
266 items present in the stomachs between pelagic and benthic fishes (1-way ANOSIM; Global $R= -$
267 0.09 ; $p= 0.78$) and between the different species (1-way ANOSIM; Global $R= -0.06$; $p= 0.83$).

268 The majority of the plastic items were microplastic ($n= 45$, 86%). These were predominantly
269 small microplastic (<1 mm), which compromised 65% of all retrieved items ($n= 34$), while large
270 microplastic (1-5 mm) compromised 21% of all items ($n= 11$) (Fig. 2). The remaining proportion
271 (14%) corresponded to meso and macroplastics. We further report this data in Table 2 to
272 demonstrate the size breakdown of plastics recovered in each species.

273 Although all the larger plastic items were found in skipjack tunas and chub mackerels, no
274 significant differences were detected in the average size of the plastic items between fish species
275 (Chi square= 4.96; $p= 0.29$; $df= 4$) or habitat (Chi square= 1.95; $p= 0.16$; $df= 1$). When pooling
276 all species together, we found a significant, but weak correlation between fish length and plastic
277 item size ($R^2= 0.074$; $p= 0.05$) (Fig. 3). Plastic fragments dominated the small microplastic ($n=$
278 28 , 82%), while large microplastic had similar proportion of fibres and fragments ($n= 6$, 54% and
279 $n= 5$, 46%, respectively). Meso and macroplastics were mostly threads ($n= 6$) and to a lesser
280 extent fragments ($n= 1$).

281 Overall, blue was the most common colour of the plastic item recovered (34.6%) (Fig. 4A),
282 followed equally by green and black (23.1%). The other colours of items recovered were red and
283 white/transparent (Fig. 4A). When looking at the colours of plastics recovered by species, blue
284 was the dominant colour in blackbelly rosefish and blue jack mackerel, green was only found and
285 found most frequently in chub mackerel and blackspot seabream, while black was the most
286 common in skipjack tuna. Results from ANOSIM showed that there was not a significant
287 preference in terms of colour between pelagic and benthic fish species (1-way ANOSIM; Global
288 $R= -0.04$; $p= 0.73$) and between individual species (1-way ANOSIM; Global $R= 0.03$; $p= 0.18$).

289 Nine different polymers were identified (Fig. 4B): polyethylene (PE), polyester (PES),
290 polypropylene (PP), polyethylene terephthalate (PET), polyvinyl chloride (PVC),
291 polyacrylonitrile (PAN), polystyrene (PS), polyamide resin (PA) and polynorbornene (PNR). The
292 most common polymer was PE (42.3% of all particles analysed), followed by PP (15.4%), PCT
293 and PES (11.5% respectively). Although PE was the most abundant polymer recovered, it was

only found in the pelagic species. PES was present in all species, except for blackspot seabream species, and PP items were present in all species, except for blue jack mackerel (Fig. 4B). PVC was only found in skipjack tuna, PA in chub mackerel and PNR in blue jack mackerel (Fig. 4B). Results from ANOSIM showed that there were significant differences in polymer type of the plastic items between pelagic and benthic fishes (1-way ANOSIM; Global $R=0.23$; $p=0.03$) and between some species (1-way ANOSIM; Global $R=0.17$; $p=0.03$). According to SIMPER analysis, the dissimilarity between the two habitats was mostly driven by PP and PE, as the plastic items recovered from the two benthic species were almost exclusively PP and in the pelagic species PE was most common (Fig. 4B). Furthermore, pelagic species contained a wider diversity of polymers compared to benthic species.

When investigating polymer type by shape, 66.7% of thread-like items were made of PP ($n=4$) and 33.3% of PE ($n=2$). The majority of fragments were PE ($n=18$, 52.9%), but also PET ($n=5$, 14.7%) and PP ($n=4$, 11.8%). PES, PS and PAN were only identified in fibres. PES represented 50% ($n=6$) and PAN 25% ($n=3$) of fibres. In addition, 16.7% of fibres ($n=2$) were identified as being PE and the remaining as PS (8.3%).

Discussion

Our results reveal that all five species of fish studied here, occupying multiple oceanic zones of the Azores, had plastic in their stomach, indicating ingestion. All five species are principal target species of local fisheries and are of high market value (Pham *et al.*, 2013b). Fisheries in the Azores are mostly artisanal and place a high value on fish quality and on sustainable capture methods. Therefore, these results may have knock on implications for such high-quality fish products. In addition, two of the investigated species (chub mackerel and blue jack mackerel) are key components of the Azorean marine food web, acting as prey items for large pelagic fish species such as tunas, but also for seabirds and many cetaceans (Morato *et al.*, 2016).

The proportion of individuals containing plastic across all species was 9.49%, which was lower than initially expected considering the region's proximity to the North Atlantic subtropical gyre and the elevated ingestion of small plastic fragments previously reported for loggerhead turtles inhabiting this region (83% of individuals containing plastic with an average of 16 items per turtle, Pham *et al.*, 2017). To our knowledge, there are no studies reporting plastic content in fish from the North Atlantic subtropical gyre available for direct comparison with our data. However, studies investigating plastic content in fish from the South and North Pacific subtropical gyre can be used to put our results into context. In our study the percentage of individuals containing plastic was lower than those reported in fish from the South and North Pacific subtropical gyre (35%, Boerger *et al.*, 2010; 24.5%, Jantz *et al.*, 2013; 27.3%, Markic *et al.*, 2018), which might reflect the higher abundance of plastic debris in the Pacific compared to the Atlantic gyres (van Sebille *et al.*, 2015). In terms of plastic load per fish however, Azorean fishes were

331 contaminated with similar amounts of plastic items (1.4 ± 0.04 items) to other studies (e.g. 1.7
332 items reported by Jantz *et al.* (2013); 1.15 items reported by Davison and Asch (2011)). Other
333 studies report higher contamination levels (e.g. 2.4 items reported by Markic *et al.* (2018); 5.85
334 items reported by Boerger *et al.* (2010)) however, such comparisons should be treated with
335 caution given inherent differences in the type of species investigated, which possess distinct
336 ecological characteristics (feeding ecology, habitat use, etc...), and also due to differences in the
337 methods used to isolate and quantify microplastic. The detection of smaller plastic items remains
338 a challenging task, and may have been under-estimated due to their size. In the future, recovery
339 testing should be included to give a quantifiable measure of recovery accuracy both based on size,
340 shape, and potentially colour. This was not carried out in this case due to the need for replication
341 and opportunistic nature of the fish collection from the fishing industry.

342 Within the wider North Atlantic basin, the number of fish containing plastic in our study
343 (9.49%) is similar to that reported by Lusher *et al.* (2016) for mesopelagic species (11%) but low
344 compared to studies from the populated coastlines of Portugal (19.8%, Neves *et al.*, 2015; 38%
345 Bessa *et al.*, 2018; 35%, Barboza *et al.*, 2020), Spain (17.5%, Bellas *et al.*, 2016) and even the
346 Canary Islands (78.3%, Herrera *et al.*, 2019). This suggests that although the Azores are found in
347 the vicinity of large accumulation zone (at the scale of the North Atlantic), the quantities of
348 microplastic in urban areas can reach concentrations that lead to subsequent elevated ingestion in
349 fishes. Plastic fragments were the most abundant shape recovered in all the species investigated
350 herein, consistent with what has been found in fishes from plastic accumulation zones in the open
351 ocean (Boerger *et al.*, 2010; Davison and Asch, 2011; Jantz *et al.*, 2013; Markic *et al.*, 2018). On
352 the other hand, studies in populated regions closer to the coast typically find that fibres are the
353 most abundant shape recovered from the guts of fish sampled (Neves *et al.*, 2015; Bellas *et al.*,
354 2016; Güven *et al.*, 2017; Peters *et al.*, 2017; Bessa *et al.*, 2018; Herrera *et al.*, 2019; Barboza *et al.*
355 2020).

356 Regional differences within similar species suggest that the chub mackerel from the Azores
357 have a lower proportion of plastic content (16.7% contained plastic) than what is reported by other
358 authors in different regions of the North Atlantic (31%, Neves *et al.*, 2015; 78.3%, Herrera *et al.*,
359 2019; 46% Barboza *et al.* 2020) and in the Mediterranean Sea (71%, Güven *et al.*, 2017; 43%,
360 Anastasopoulou *et al.*, 2018). Again, the lower plastic uptake for this species in the Azores may
361 be explained by the fact that this region has lower population density than cities such as Lisbon
362 (Neves *et al.*, 2015), the Canary Islands (Herrera *et al.*, 2019) and the heavily populated
363 Mediterranean coastline (Güven *et al.*, 2017; Anastasopoulou *et al.*, 2018). While fragments
364 where the most common shape recovered from Azorean chub mackerels, in the Canary Islands,
365 fibres of an unknown polymer were dominating this species (Herrera *et al.*, 2019). Most fibres
366 initially identified in our results were found to be cellulose, with great uncertainty as to their
367 origin. Cellulose items were not included in our results and that may further explain such a

368 difference in the number of chub mackerel with plastic compared to other studies that reported
369 significant amount of fibres in this species (e.g. Güven *et al.*, 2017; Anastasopoulou *et al.*, 2018;
370 Herrera *et al.*, 2019; Barboza *et al.*, 2020).

371 The proportion of blue jack mackerel containing plastic in our study (7.69%) was slightly
372 higher than the 3% reported for 29 individuals of this species off the coast of mainland Portugal
373 (Neves *et al.*, 2015). Yet, our differing methodology (complete digestion of the stomach) together
374 with a larger sample size might explain such differences in the overall load of plastic detected.
375 Our data are also lower than others investigating *Trachurus spp.* that of Lusher *et al.* (2013) (UK),
376 Anastasopoulou *et al.* (2018) (Southern Adriatic), and Güven *et al.* (2017) (Turkish
377 Mediterranean) who report average microplastic abundances of 0.42, 0.52, and 1.77 plastic
378 particles per individual respectively compared to our 0.12 items per individual.

379 Similarly, the higher quantities of plastic content we found in the skipjack tuna of the Azores
380 compared to specimens sampled in the South West Pacific (0%, Rochman *et al.*, 2015; 0%,
381 Cannon *et al.*, 2016) and South coast of India (Sathish *et al.*, 2020), reporting plastic
382 contamination of 2 items (1 fibre and 1 fragment), is probably due to sample size (<10 individuals
383 in these studies). Conversely Markic *et al.*, (2018) (also sampling 10 individuals) reported a much
384 higher incidence of microplastic ingestion of 2.20 items per individual yellowfin tuna (caught in
385 Rapa Nui) compared to our 0.16 items per individual in skipjack tuna. Therefore, developing
386 reasoning to explain regional differences in plastic content for this species is somewhat difficult.

387 Studies investigating seabreams (*Pagellus spp.*) similarly vary around our average incidence
388 of microplastic contamination. Our data report 0.04 items per individual of blackspot seabream
389 whereas data collected by Anastasopoulou *et al.* (2018) (Northern Adriatic and NE Ionian Sea)
390 report average abundances of 0.03 and 0.02 items per individual respectively by region. Güven *et al.*
391 (2017) (Turkish Mediterranean) report abundances of 0.63 and 1.63 items per individual and
392 Digka *et al.* (2018) (Northern Ionian Sea) found abundances of 0.8 items per fish; both higher
393 than our abundances.

394 The only study reporting plastic contamination in blackbelly rosefish of the Atlantic did not
395 detect any plastic items (Neves *et al.*, 2015) but again, this assessment was based on a single
396 individual and using only visual analysis. In the Mediterranean Sea, Anastasopoulou *et al.*, (2013)
397 also did not recover any plastic items from this species despite their large sample size (exceeding
398 300 individuals). Yet their analysis was also limited to visual detection of items larger than 1mm,
399 thereby, overlooking some of the smallest particles that we were able to recover through a
400 complete digestion of the stomachs. Restricting our results to items larger than 1 mm, the
401 proportion of blackbelly rosefish in the Azores with plastic would be also null (Table 2), since we
402 only found items smaller than 1 mm.

403 Collectively, these observations further point out that with the absence of standardized
404 methodologies, comparisons between studies are challenging and often meaningless. While

405 results based on small sample sizes and that **does not include** chemical confirmation (e.g. FTIR)
406 cannot be corrected, it is still possible to compare between studies that were limited in the
407 detection of smaller items given that the authors explicitly report the quantities of the plastics
408 recovered by different size classes such as provided here.

409 It is important to highlight that other aspects of the methods can influence the quantities of
410 plastic contents in wild caught fish. An important bias recognised in dietary studies of deep-sea
411 fish is stomach eversion, caused by sudden changes in pressure as the fish is brought to the surface
412 (Vinson and Angradi, 2011). Fish with everted stomachs usually are ignored in dietary studies
413 since it can bias calculations of food consumption rates (e.g., Stevens and Dunn, 2011, Horn *et al.*,
414 2012). Accordingly, we have followed this guideline and excluded any individuals showing
415 signs of stomach eversion. The fact that we found 47% of our blackbelly rosefish with empty
416 stomachs could indicate eversion however our data are in accordance with other studies
417 investigating diet in this species (between 40 and 50%, Nouar and Maurin, 2000; Colloca *et al.*,
418 2010; Consoli *et al.*, 2010; Neves *et al.*, 2012) and this reflects a normal condition in this species.
419 The elevated number of empty stomachs of the blackbelly rosefish compared to other species
420 reflects the species' feeding strategy which is primarily a daytime predator feeding during a
421 relative short period, after which it remains inactive and does not ingest prey until the previous
422 prey item has been fully digested (Macpherson, 1985). No specimens of the other deep-sea species
423 (blackspot seabream) analysed were found with empty stomachs, suggesting that our capture
424 method was not promoting loss of stomach content.

425 In what comparisons we were able to make it is clear that globally our fishes are on the lower
426 end of ingestion compared to other studies but are by no means the lowest. However, the
427 aforementioned caveats and confounding differences that make comparisons difficult must be
428 considered when comparing studies.

429 Our results reveal that the stomachs of pelagic species were found to contain plastics more
430 frequently than deep-water species, which is in agreement with a number of other studies across
431 the globe (Avio *et al.*, 2020; Romeo *et al.*, 2015; Battaglia *et al.*, 2016; Nadal *et al.*, 2016;
432 Anastasopoulou *et al.*, 2018). However, some studies do report equitable amounts of plastics in
433 fishes from the two ocean compartments (Lusher *et al.*, 2013; de Vries *et al.*, 2020), whilst others
434 report the opposite, with greater proportions of benthic species ingesting plastic compared to
435 pelagic species (Markic *et al.*, 2018, Kühn *et al.*, 2019). Such disagreement most likely reflects
436 the patchy distribution of plastics in the oceans and the biological and ecological dynamics that
437 play out when capturing fishes at one time point. It is well documented that in our study region,
438 floating debris are particularly abundant due to the presence of a major large-scale convergence
439 zone (Cózar *et al.*, 2014; Eriksen *et al.*, 2014; Van Sebille *et al.*, 2020). However, the spatio-
440 temporal distribution of microplastic can vary greatly as demonstrated by Law *et al.*, (2014) who
441 documented 3 orders of magnitude difference in plastic abundances between sites in close

442 proximity sampled within a 24-hour period. A further complication is that oceanographic and
443 biological processes might inhibit or increase vertical transport of plastic down to the seabed by
444 changing their density (Cole *et al.*, 2016; Galloway *et al.*, 2017; Porter *et al.*, 2018; Van Sebille
445 *et al.*, 2020). These processes can even alter their bioavailability by changing the palatability of
446 these plastics to organisms (Rummel *et al.*, 2017; Hodgson *et al.*, 2018; Porter *et al.*, 2019). These
447 factors can further alter the distribution, uptake and fate of plastics in the ocean and may go some
448 way to explain the heterogeneity of data seen in review of the available literature.

449 Another difference between fishes from both compartments, was that the deep-water species
450 had only small microplastic (<1 mm), while the stomach content of the pelagic species included
451 a wider size range (and polymer), having more often items larger than 5 mm. This in agreement
452 with the results of Avio *et al.* (2020) who found that benthic species in the Adriatic Sea have a
453 higher proportion of small microplastic compared to pelagic species. The vertical transport of
454 plastics in the ocean is associated with biological interactions (e.g. biofouling, marine snow,
455 faecal pellets, plastic pump), implying that small microplastic might be more abundant in the deep
456 sea than larger plastics (van Sebille *et al.*, 2020).

457 We found that blue items were the most common colour in plastic items in the stomach content,
458 which has now been reported in a number of other studies (Boerger *et al.*, 2010; Güven *et al.*,
459 2017; Ory *et al.*, 2017; Peters *et al.*, 2017; Herrera *et al.*, 2019; Barboza *et al.*, 2020). It has been
460 suggested that an active selection for blue coloured plastic items might occur, due to
461 misidentification of plastics for natural prey items in pelagic species which are mostly visual
462 predators feeding on small blue coloured zooplankton (Neves *et al.*, 2015; Ory *et al.*, 2017;
463 Herrera *et al.* 2019). In the Azores, white fragments are by far the most abundant colour of
464 microplastic stranded on the coastline but also floating at the surface (Pham *et al.*, 2020),
465 providing additional evidence that fish actively ingest significantly higher quantities of blue
466 particles because this is the colour of their typical prey items rather than because they are more
467 abundant in the environment. The predominance of small blue plastic items also found in the
468 larger ambush predator of the deep-sea in the Azores, such as the blackbelly rosefish might
469 indicate a potential trophic transfer of small blue plastic items mistakenly ingested by their prey.

470 The other large predatory species included in this study, the skipjack tuna, is known to feed
471 on large prey items, including fish and cephalopods, resulting in a more selective predatory
472 activity. The predatory feeding mode of tuna together with the small size of microplastic found
473 in their guts would suggest that it is less likely that the skipjack tuna misidentifies plastic items
474 as prey, but rather ingests them through prey items or incidentally during normal feeding
475 behaviour in the case of large threads (up to 11 cm) found in this species.

476 The variation in polymers recovered from both oceanic compartments can be partially
477 explained by their inherent properties. Polyethylene (PE), polypropylene (PP), and polystyrene
478 (PS) all float in seawater due to their density when virgin particles. PE and PP made up ~58% of

the total polymers found in our study which is unsurprising as PE and PP account for 49% of resins produced by demand in Europe (Plastics Europe, 2019) and due to their aforementioned buoyancy as virgin polymers. This explains the absence of PE and PS in our benthic species however our benthic species were found with PP in their stomachs. This is most likely due to biofouling and subsequent vertical transport. Biofouling can start within hours of plastics entering the marine environment (Ye and Andrady, 1991) and this will eventually act to alter the particles density and cause it to sink (Gregory, 2009; Kooi *et al.*, 2017). This coupled with the aforementioned vertical transport mechanisms of microplastic enables buoyant polymers to be found in deep water or benthic species. Polyesters (PES), and Polyvinylchloride (PVC) are notably denser than seawater and yet are found in our pelagic species. As these species have a varied feeding depth distribution there are a number of factors that could lead to this occurrence. Firstly, the particles may well have been sinking when consumed; the original input location is not known. Furthermore, these particles may have recently fragmented from a larger buoyant macroplastic piece floating due to its construction (shape or air pockets) and as it degrades these ingested particles may have flaked off the original product. Finally, these particles, especially for PES may have been transported to these locations by aeolian processes driving fragments or fibres the continental land masses (Enders *et al.*, 2015)

Stomach content alone does not reflect the true extent of plastic content of a species, especially given the dynamics of egestion and trophic transfer potential to confound these data. Both small and large (up to 5 mm) plastic items have been found in the muscle and gills of different fish species (Abbasi *et al.*, 2018, Akhbarizadeh *et al.*, 2018; Barboza *et al.*, 2020) but the exact mechanism of internalisation is still not well understood. Therefore, it is highly probable that the total plastic load of the species investigated herein could be underestimated, but this certainly does not affect the relevance of our findings based on stomach contents.

503

504 **Conclusions**

Overall, our findings confirm the presence of plastic particles in all five commercially important fish species investigated from the Azores archipelago, with most items being smaller than 1 mm in size. The general proportion of individuals containing plastics for these species however was low compared to other areas in the North Atlantic demonstrating the challenges of inter-study comparison. Our results highlight differences in the frequency and abundance of plastic items present in the stomach contents of pelagic and benthic species with open-ocean pelagic species having ingested significantly more plastics of distinct polymer types compared to benthic species. In pelagic fish polyethylene was most abundant polymer while plastics in deep-sea fish were almost exclusively polypropylene. We highlight the importance of performing μ -FTIR or other polymer identification methods for validating results, particularly when looking at small microplastic items. In this study, a total of 190 items initially identified as likely plastic

516 items (80% being smaller 1 mm) using visual methods only were rejected from our analysis due
517 to non-plastic matches with spectral libraries or low-quality spectral matches, and this
518 misidentification could lead to an overestimation in the frequency of plastic content in studies
519 that do not employ these techniques. Furthermore, we emphasize the importance of having a
520 substantial sample size (at least minimum of 40-50 individuals per species) to ensure that the
521 issues surrounding time of feeding, ingestion, and egestion amongst other biological dynamics do
522 not confound results.

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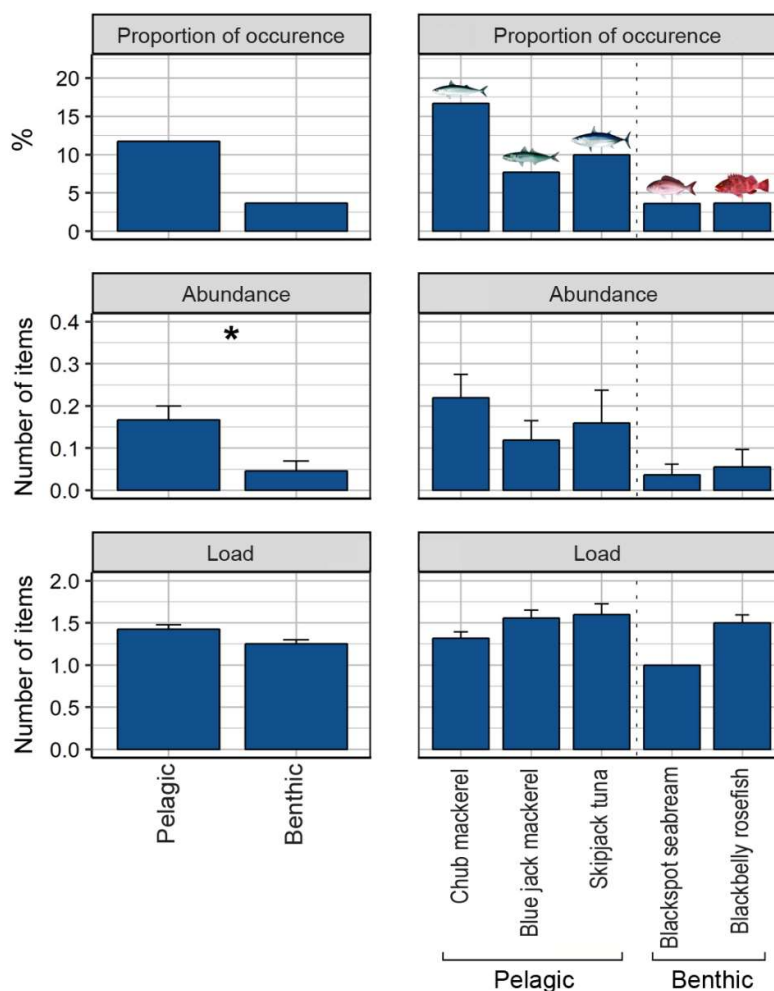
Table 1. Descriptive details of the individual fish collected and analysed for plastics for five species from the Azores during the 2015 – 2017 sampling campaign.

	Species	Size Class	Number of samples	Mean length (cm) \pm SD	Length range (cm)	Mean stomach weight (g) \pm SD	Mean fullness degree
Pelagic	Chub mackerel (<i>S. colias</i>)	L	50	43.3 \pm 2.0	39.0 - 48.0	15.0 \pm 8.7	2.3 \pm 1.9
		S	64	17.6 \pm 1.3	15.5 - 20.5	2.3 \pm 1.3	3.1 \pm 1.5
	Blue jack mackerel	L	52	42.7 \pm 1.7	40.0-46.5	14.8 \pm 5.0	1.9 \pm 1.4
	(<i>T. picturatus</i>)	S	65	15.6 \pm 0.6	14.5-16.7	1.5 \pm 0.7	2.3 \pm 1.6
	Skipjack tuna (<i>K. pelamis</i>)	-	50	51.5 \pm 2.4	45.5 - 57.5	85.2 \pm 36.5	3.8 \pm 1.4
Benthic	Blackspot seabream (<i>P. bogaraveo</i>)	-	55	42.3 \pm 2.5	38.0 - 46.5	9.0 \pm 4.5	2.7 \pm 0.9
	Blackbelly rosefish (<i>H. dactylopterus</i>)	-	54	34.0 \pm 1.2	32.0 - 36.0	11.7 \pm 3.0	0.7 \pm 0.8

Table 2. Proportion of fish with plastic in the stomach, average plastic abundance and load (\pm SE) in the stomach of five different fish species and divided for plastic of different size classes.

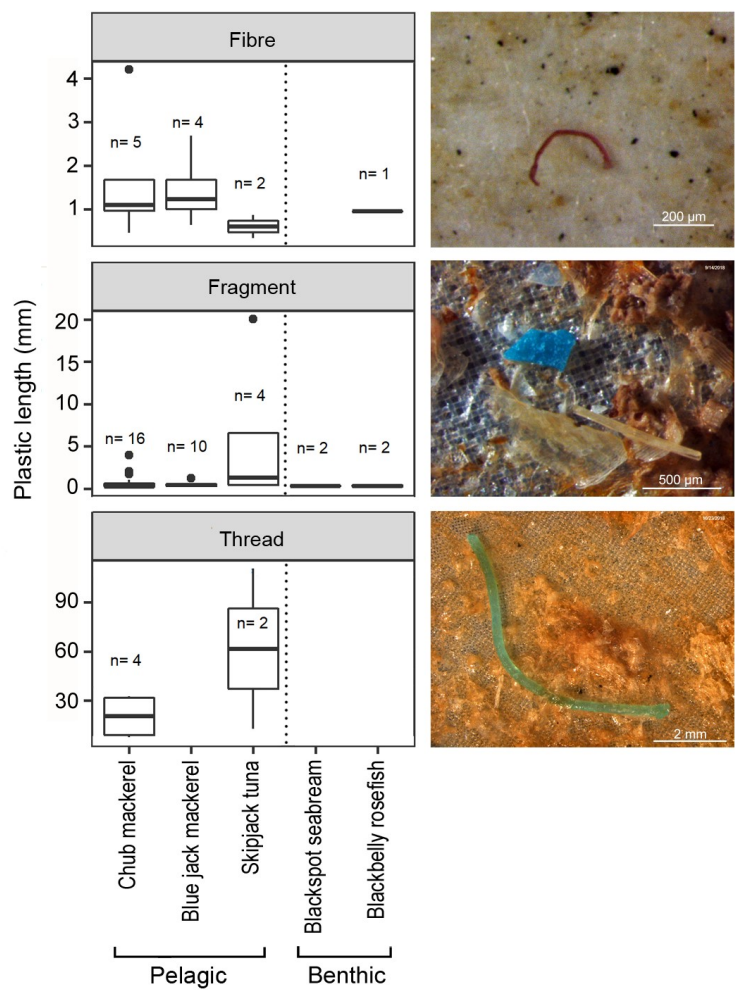
Plastic size class	Metric	Pelagic fish			Benthic fish	
		Chub mackerel (n=112)	Blue jack mackerel (n=117)	Skipjack tuna (n=50)	Blackbelly rosefish (n=54)	Blackspot seabream (n=55)
All size classes	Proportion of occurrence (%)	16.7%	7.7%	10.0%	3.7%	3.6%
	Abundance	0.22 \pm 0.06	0.12 \pm 0.05	0.16 \pm 0.08	0.06 \pm 0.04	0.04 \pm 0.03
	Load	1.3 \pm 0.1	1.6 \pm 0.1	1.6 \pm 0.1	1.5 \pm 0.1	1.0 \pm 0.0
0.02 - 1 mm	Proportion of occurrence (%)	8.8%	5.1%	6.0%	3.7%	3.6%
	Abundance	0.13 \pm 0.05	0.09 \pm 0.04	0.08 \pm 0.05	0.06 \pm 0.04	0.04 \pm 0.03
	Load	1.5 \pm 0.1	1.67 \pm 0.1	1.33 \pm 0.1	1.5 \pm 0.1	1.0 \pm 0.0
1-5mm	Proportion of occurrence (%)	5.3%	3.4%	2.0%	0.0%	0.0%
	Abundance	0.05 \pm 0.02	0.03 \pm 0.02	0.02 \pm 0.02	-	-
	Load	1.0 \pm 0.0	1.0 \pm 0.0	1	-	-
>5mm	Proportion of occurrence (%)	3.5%	0.0%	6%	0.0%	0.0%
	Abundance	0.04 \pm 0.02	-	0.06 \pm 0.03	-	-
	Load	1.0 \pm 0.0	-	1.0 \pm 0.0	-	-

872 **Fig. 1.** Proportion of individuals containing plastic (%) and average number of items per
873 habitat and species, including all individuals (plastic abundance) or just the ones found to ingest
874 plastic (plastic load). Asterisk denotes significant differences. There was a significant difference
875 in the plastic abundance between pelagic and benthic fishes sampled (Chi square= 5.95; $p= 0.01$,
876 $df= 1$).

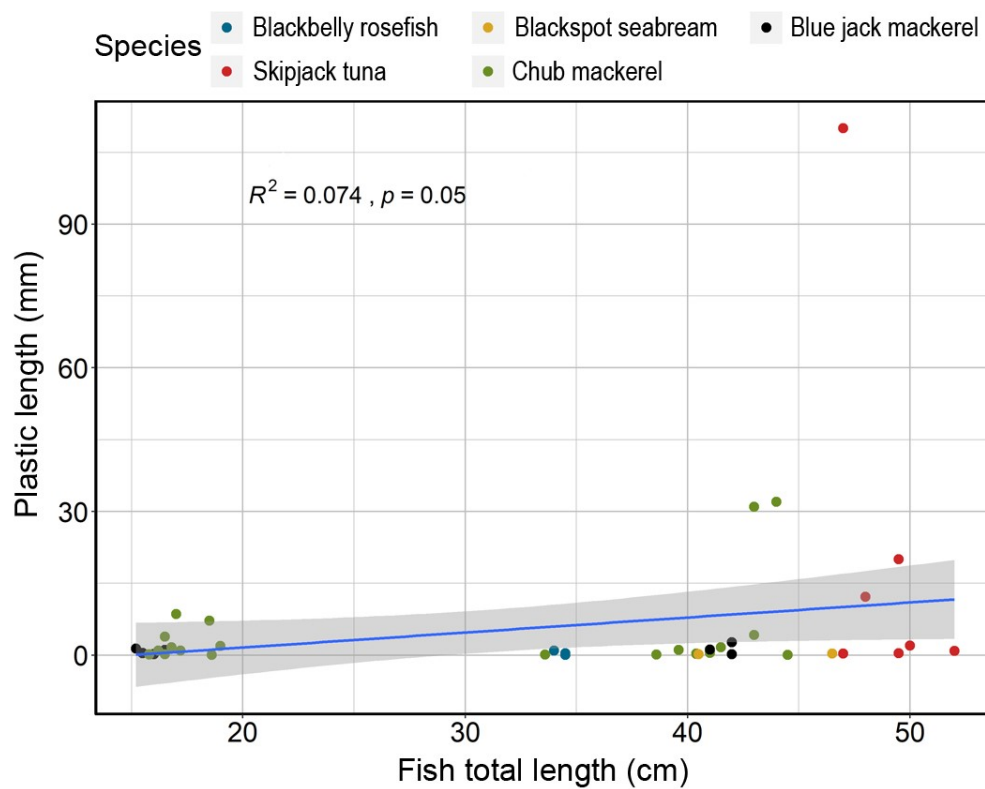


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888 **Fig. 2.** Boxplot of the length of different plastic shapes recovered from five fish species in the
889 Azores. Number in brackets refers to the number of items recovered. On the right, example images
890 of plastics recovered per shape.

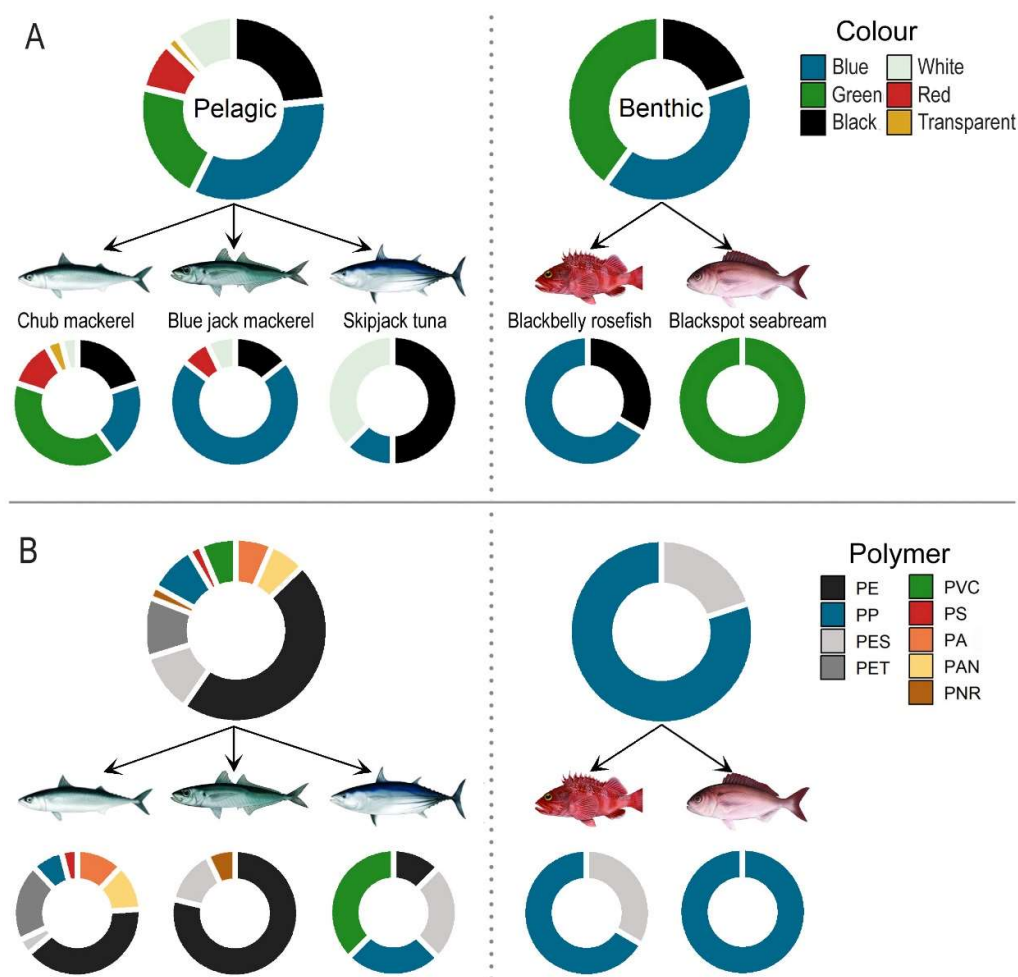


892 **Fig. 3.** Correlation between fish length and the size of all plastic items recovered. Different
 893 colours represent different fish species.
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896 **Fig. 4.** Colour (A) and polymer (B) composition of the plastic items recovered from the stomach
 897 of three pelagic and two deep-water species. Top pie charts are cumulative for each compartment.
 898 Polymer identification was obtained with μ -FTR. Polymers identified were polyethylene (PE),
 899 polyester (PES), polypropylene (PP), polyethylene terephthalate (PET), polyvinyl chloride
 900 (PVC), polyacrylonitrile (PAN), polystyrene (PS), polyamide resin (PA) and polynorbornene
 901 (PNR).



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